



***Brighton & Hove City Council***  
***Strategic Risk Assessment Report***  
***Denise D'Souza***

Risk Category - BHCC Strategic Risk;

ROM Issue:	Keeping vulnerable adults safe from harm and abuse	Responsible Officer:	Denise D'Souza
		Risk Code:	SR13

**Identified** Keeping vulnerable adults safe from harm and abuse is a responsibility of the council. Brighton & Hove City Council has a statutory duty to co-ordinate safeguarding work across the city and the Safeguarding Adults Board. This work links partnerships across the Police and Health and Social Care providers. Over 1400 concerns were raised last year about vulnerable people with over 1,000 going into investigation.

**Potential Conseq** Due to a national legal judgement in early 2014 on Deprivation of Liberty Safeguards (DoLS) the council has seen a significant increase in requests for Best Interest Assessments (BIAs); numbers have increased significantly testing the council's capacity to deliver. Generally cases are more complex and demands can vary. The council is able to respond appropriately at a time of change to protect those most vulnerable.

<b>Initial:</b>	High		<b>Revised:</b>	Significant		<b>Future:</b>	Significant	
<b>Risk Identified Date:</b>	8/5/2013		<b>Date Modified:</b>	10/6/2014		<b>Date Modified:</b>	23/5/2013	

**Risk Category:** - BHCC Strategic Risk  
- Legislative

**Existing Controls:**

- \* Awareness through messages and training;
- \* Safeguarding Board workplan arising from review of Board;
- \* Learning from serious case reviews, coroners concerns and case review from national work;
- \* Good multi-agency work: Pilot role and access point from Police;
- \* Audit of Safeguarding investigations and alerts (to check as appropriate);
- \* Maintain the role and numbers of professional social workers through service redesign to ensure capacity;
- \* Multi-agency training in place for better awareness, investigation management;
- \* Highly motivated social workers;
- \* Assessment of need using agreed threshold policies and procedures;
- \* Staff provided with learning opportunities and undertake continuous professional development;
- \* Working with ADASS (association of directors of adult social services) on the impact of recent legal judgement on DoLs ;
- \* Working with Care Providers to ensure requests for Birth Certificates are appropriate and provides best and least restrictive practice;

**Effectiveness of Controls:** Adequate **Issue Type:** Threat  
**Risk Treatment:** Treat, Treat

**Solutions:** SR13 Risk Action: Continue to learn from serious case reviews, coroners inquests and case reviews  
SR 13 Risk Action: Continue to raise awareness through messages and training  
SR13 Risk Action: From multi-agency work with Police, review pilot to inform service delivery

<b>ROM Issue:</b>	Implementation of the Care Act	<b>Responsible Officer:</b>	Denise D'Souza
		<b>Risk Code:</b>	SR19

**Identified** Draft guidance has been received on many aspects of the Care Act although still awaited are details of future funding. The council's response to the draft guidance has been submitted with final guidance due in October 2014 for:

- Safeguarding;
- Funding of Social Care;
- Contributions for Care costs (Dilnot report) - Future Funding;
- Increased duties in respect of carers

The Council needs to have processes and systems in place to support changes to safeguarding, care, information and advice functions by April 2015.

**Potential Conseq** If we fail to meet our new statutory duties under the Care Act then:

- \* Service delivery for individuals will be affected
- \* Reputational damage
- \* Financial risk

<b>Initial:</b>	High		<b>Revised:</b>	High	
<b>Risk Identified Date:</b>	21/5/2014		<b>Date Modified:</b>	10/6/2014	

**Risk Category:**

- BHCC Strategic Risk
- Legislative

**Existing Controls:**

- \* Adults Social Care Modernisation Board set up and considers detail on timelines and risk rating;
- \* Workstreams in place working both locally, across the South East and nationally to ensure capacity to respond to the changes;
- \* Local workstream identified and will link, where possible, to work on the Better Care Fund.

**Effectiveness of Controls:** Uncertain

**Issue Type:** Threat

**Risk Treatment:** Treat

**Solutions:**

- SR 19 Risk Action: Work with partners to inform and influence all parties involved in social care provision so that understanding, capacity and performance meets new requirements
- SR 19 Risk Action: Review progress at Adult Social Care Modernisation Board on a regular basis
- SR 19 Risk Action: Scan for changes of Care Act as more clarity emerges and assess implications to care delivery arrangements

ROM Issue:	Better Care Fund	Responsible Officer:	Denise D'Souza
		Risk Code:	SR20

**Identified** The changes to funding for Adult Social Care was introduced by the Better Care Fund and affect how the whole system of social care, across the public and private sectors, works together and how funding is agreed.

**Potential Conseq** The impact of funding changes of Better Care Fund combine with already significant changes to the NHS still being worked through with a submission to the NHS due in on 19 September 2014. This needs to deliver more integrated care and show real improvement in Accident & Emergency (A&E) performance.

If parties do not work together as agreed, or organisation's priorities change, it will affect delivery of performance targets in relation to the Better Care Fund. Any failure of delivery will impact on the Acute Trusts' costs and our ability to release efficiency savings to create new services.

<b>Initial:</b>	High		<b>Revised:</b>	Significant	
<b>Risk Identified Date:</b>	14/5/2014		<b>Date Modified:</b>	10/6/2014	

**Risk Category:**  
 - BHCC Strategic Risk  
 - Economic / Financial

**Existing Controls:**

- \* Health & Wellbeing Board reviewed and governance arrangements in place to help deliver an integrated approach, including oversight of the Better Care Fund;
- \* Re-submission of the Better Care Plan due on 19 September following changes nationally;
- \* Better Care Board established (high level and cross sector representation) and chaired by Executive Director Adult Social Care;
- \* Partnership work agreed and submitted a Better Care Plan by the deadline in March 2014;
- \* Agreement at Better Care Board to develop a Better Care implementation plan for delivery of Phase 1 from September 2014, based on an integrated model of delivery;

**Effectiveness of Controls:** Adequate **Issue Type:** Threat  
**Risk Treatment:** Treat

**Solutions:** SR 20 Risk Action: Deliver Phase 1 Better Care implementation plan from September 2014  
 SR 20 Risk Action: Monitor and react to implications on the Better Care Fund arising from the Care Act